

'AND WHAT ABOUT ...?' - TOPICALISATION IN HAUSA

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1. Introduction

This paper examines the transformational principles and operations involved in the topicalisation, within simplex Hausa verbal sentences, of both nominal and verbal elements.¹ Several accounts of the process of focus, also considered here, have appeared for Hausa, including major studies by McConvell [1973] and Schachter [1966, 1973] and some references in Parsons [1963]. There are also a number of descriptions available of similar phenomena in related Chadic languages, including, inter alia, Newman [1970, 1974], Schuh [1971, 1972], Burquest [1973], and Hoskison [1975]. However, apart from some rather brief reports in the latter works, in addition to one paper by Jaggar [1976], no serious investigation of the equally important but very distinctive feature of topicalisation has yet been published for this group.²

The focus to date appears to have been on focus as it were, and the aim of this present study is to help remedy this situation. In the first section, the salient semantic properties of the two processes are briefly compared. However, because topicalisation, like focus, is structurally expressed in Hausa, the burden of this analysis is concerned with the syntax of topicalisation. In order to highlight the crucial characteristics of topicalisation, its syntactic behaviour is contrasted with that of focus where variations in behaviour make such a contrast illuminating and relevant

¹This paper has its roots in undergraduate work done with F.W. Parsons at the School of Oriental and African Studies, University of London. I would like to thank F.W. Parsons, and also David Arnott, Paul Newman, and Russell Schuh for their valuable criticisms and comments on earlier versions of this article. I am also grateful to Mahmud Imam, Nuhu Sanusi, and Abdu Bichi, all of whom speak the Kano dialect of Hausa, for their help. Any errors and weaknesses are of course entirely my own responsibility.

²Parsons himself has put together some characteristically copious but unpublished notes on the subject. He refers to the phenomenon as "Thematic Emphasis", a term borrowed in fact from Arnott [1970]. Arnott [1964] was, along with Welmers [1964], one of the first scholars to call attention to the fact that different types of emphatic transform co-exist in West African languages.

to the particular features under consideration. The purpose throughout is to illustrate the relationship between basic and derived sentences by formulating a set of rules which apply to the underlying strings and which account for the eventual surface forms. I hope, in this report, to show that the formation of the two construction types involves different T-rules and suggest a possible way of accounting for these dissimilarities.

By topicalisation I am referring to sentences of the following kind:

- (1) '(as for)³ Bill, I saw him yesterday'
- (2) '(as for) the girl, she has run away'

As distinct from sentences (3) and (4) which illustrate focus constructions:

- (3) clefting: 'it was Bill I saw yesterday'
(with the possible tag '... and not John')
- (4) contrastive stress: 'the girl has run away'
(with the possible tag '... and not the boy')

2. Semantic Features

The feature topicalisation [+T] is introduced as an optional stylistic device available in the natural language for transformationally altering both the meaning and constituent structure of an underlying unmarked sentence. In a topic construction in Hausa some item is lifted from a neutral sentence and preposed to position before the sentence subject. The element thereby overtly marked off as a topic or theme and introduced in English by such phrases as 'as for ...' or 'and ...' is here designated the topic element. The ensuing balance will be referred to as the comment.

From a universal semantic point of view the topic element is regarded as supplying old information in the discourse or situation, and the completive comment sentence as providing new and hence more significant information regarding the topic. This should be contrasted with focus constructions where it is the focus element which normally conveys the new data and which is thus of greater communicative importance.⁴ The resumptive topic element is also interpreted as being normally fully specific in reference since it is prementioned in the discourse and hence presumed to be known to the interlocutors and is sometimes structurally marked as such.⁵

³Throughout this article, all optional elements, in both Hausa and English, are enclosed in parentheses.

⁴In Hausa, as in English, focussing basically indicates either the contrast of an item with another equivalent item in the discourse or the simple emphatic identification of an item.

⁵Common nouns selected as topics are optionally suffixed with the marker of previous or implied reference, the morpheme -*h* (masc.), -*r* (fem.), -*h* (plural). Proper names are considered specific by definition.

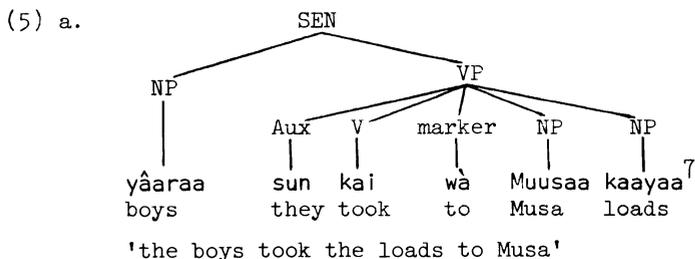
Topic constructions are contextually conditioned and occur either as responses to information questions of the type posed in the title of this paper, and with which they share certain existential presuppositions, or as the continuation of a previous discourse or narration. Examination of Hausa texts and direct work with informants reveal that topic constructions are a common feature of both declarative and narrative contexts in the language.⁶

3. Syntactic Features

Any item basically of a normal simplex sentence, whatever its function, i.e. nominal, verbal, or adverbial, may be marked [+T]. Moreover, exactly the same sentence constituents may assume the feature focus [+F]. In this respect at least, Hausa appears to be less constrained than some of its sister Chadic languages. In Tera for example, it is not possible according to Newman [1970:84] to mark either V or VP with [+F], and in Ngizim [Schuh 1972:229] only the subject may be focussed by special syntactic means. Angas, apparently, like Hausa allows any element to take the feature [+F] [Burgess 1972:31-32], and Hoskison [1975:227-34] reports that Gude allows either [+F] or [+T] of the same constituents as Hausa. Finally, in Kanakuru, Newman [1974:67] says that verbal nouns may contain [+F] since these are really nominal forms lexically related to verbs, from which I assume (?) that verbs may be marked [+F] in the language.

The operation [+T] is applied most commonly in Hausa to nominal elements in the sentence and is performed by a combination of topic preposing and then either topic deletion or pronominalisation in the comment. If, as less frequently happens, a main verb or verb phrase is selected for [+T], then the fronted element is either repeated in full in the comment, or leaves a trace in the form of a pro-verb.

Figure (5a) exemplifies the surface word order of a typical declarative verbal sentence in Hausa, Hausa being an SVO language. The main thrust of this paper is concerned with topicalisation of the constituent items illustrated in this tree diagram.



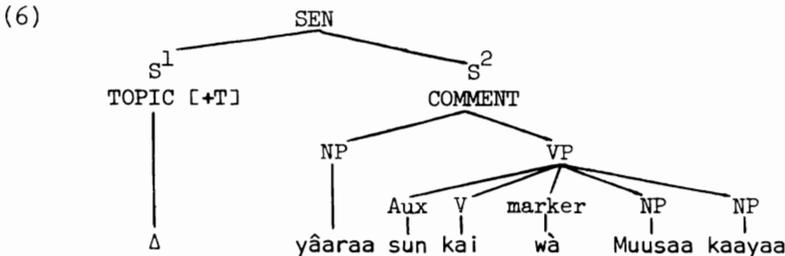
⁶This may be compared with Tera for example, where, according to Newman [1970:89], such constructions are unnatural in ordinary speech, though employed in story telling.

⁷Hausa has two lexical tones, high and low, plus a falling tone. The

The candidates for [+T] in (5a) include any of the constituent elements except the bound auxiliary subject pronoun. Examples (5b-f) illustrate the full range of possible permutations. The T-rules needed to account for these surface strings are then presented.

- (5) b. NP subj. [+T]: yâarân [+T] (dai), sun kai wà Muusaa kaayaa
'(as for) the boys, they took the loads to Musa'
- c. VP [+T]: kai wà Muusaa kaayaa [+T] (dai), yâaraa sun kai (sù)
'(as for) taking the loads to Musa, the boys took (them)'
- or d. VP [+T]: kai wà Muusaa kaayaa [+T] (dai), yâaraa sun yi (shì)
'(as for) taking the loads to Musa, the boys did (it)'
- e. NP i.o. [+T]: Muusaa [+T] (dai), yâaraa sun kai masà kaayaa
'(as for) Musa, the boys took the loads to him'
- f. NP d.o. [+T]: kaayân [+T] (dai), yâaraa sun kai wà Muusaa suu⁸
'(as for) the loads, the boys took them to Musa'

Sentences (5b-f) have an underlying form which may be represented thus:



where any of the non-terminal nodes except Aux, and most typically an NP, may be dislocated leftwards to the position dominated by the feature [+T] which acts as both a syntactic and semantic marker and which is represented by the dummy symbol Δ . Other appropriate transformational changes are then triggered at the same time. The process of [+T], it is suggested, results in the formation of two distinct sentences in the base component (see figure (6)), S^1 being the frontshifted topic itself, and S^2 the ensuing comment

transcription used here is based on Standard Hausa orthography, with the addition of a grave accent ($\grave{\ }$) to indicate low tones, and a circumflex ($\hat{\ }$) for falling tones. Long vowels are indicated by double letters. The first example (a) in each set is the related basic sentence. Some of the English glosses are more or less literal translations of the Hausa examples.

⁸The pronoun form used here is of the disjunctive set. When a direct object pronoun follows an indirect object in Hausa, it automatically takes the form of the disjunctive pronoun.

sentence. Syntactic, semantic, and prosodic evidence in support of this approach is presented later on in the report.

The above facts indicate that sentences which include an item marked [+T] in Hausa are subject to the following T-rules:

- rule T.1: obligatorily transports the topic element leftwards to sentence initial position, as in (5b-f)
- rule T.2: optionally inserts one of the particles ai, àshee, dai, kám, koo, kuma, kùwa, maa immediately to the right of the preposed topic element--cf. (5b-f)
- rule T.3: obligatorily retains the Aux tense-aspect--cf. (5b-f)
- rule T.4a: replaces an NP topic with an anaphoric pronoun in the comment sentence. This reflex pronoun agrees in number and gender with its coreferential NP and is always either 3rd person singular as in (5e) or 3rd person plural if the NP is a numerical plural as in (5f)
- rule T.4b: deletes the NP topic in the comment sentence--cf. (5b)
- rule T.5: provides that if the item chosen for [+T] is verbal, then the fronted V is converted into a verbo-nominal form and is then either repeated in full in the comment sentence (5c) or leaves a trace in the form of the finite pro-verb yi 'do, make' in the original V slot (5d)

The above, rather simplified T-rules, are examined in greater detail later on in the study.

Precisely the same elements are susceptible of [+F] in the language, though a number of different transformational alterations would take place in the transition from deep to surface structure. Very briefly, and taking (5f) as an example sentence, the corresponding rules for focus would obligatorily frontshift the d.o. nominal selected, optionally insert the stabiliser *nee* in position after the preposed NP, trigger an obligatory change in the Aux aspect marker from non-relative to relative,⁹ and finally delete the NP in the balance of the sentence, ending up with (5g):

- (5) g. NP d.o. [+F]: kaayaa [+F] (nèe) yâaraa sukà kai wà Muusaa
'it's loads that the boys took to Musa'

⁹This takes place only where there is a distinction between non-relative and relative forms, i.e. the perfective and continuous aspects. In this study, many of the Hausa examples used are in the perfective aspect, though in fact the process of topicalisation is largely unrestricted as regards the verbal aspects it may occur in (see Jaggar [1976:67-68]). The stabiliser has two forms: *nee* (masc. and plural) and *cee* (fem.). It has tone polar to the preceding syllable.

The remainder of this paper examines, in turn, the topicalisation of nominal and verbal sentence constituents of the kind exemplified above, illustrating at the same time the productivity of the T-rules thus far formulated. The mutually exclusive rules T.4a and T.4b are of particular interest and are given special considerations since they contain conditions on their obligatory and optional application depending on the construction type.

3.1. Topicalisation of nominal elements. Selection of nominals for [+T] is by far the most common process and has a high textual frequency in Hausa.

3.1.1. Subject NP [+T]. The subject function is marked by its position to the left of the main verb.

- (7) a. Haliimà taa taashì
 'Halima has 'left'
- b. Haliimà [+T], taa taashì
- c. Haliimà [+T] (kùwa), taa taashì
- d. (ita) Haliimà [+T] (kùwa), taa taashì
- e. (ita) Haliimà [+T], taa taashì
- f. Haliimà [+T] (kùwa), (ita) taa taashì
- g. (ita) (kùwa) Haliimà [+T], taa taashì
- h. Haliimà [+T], (ita) taa taashì
- i. Haliimà [+T], (ita) (kùwa), taa taashì
- [gloss for (7b-i)] '(as for) Halima, she has left'

Sentences (7b-i) illustrate the entire collaboration of T-rules and full range of surface permutations which are possible when an NP assumes [+T]. Furthermore, as the single English gloss indicates, all the above grammatical strings are, for all practical purposes, semantically equivalent stylistic variants and convey the same information. I hesitate to say "identical", however, for two reasons. In the first place, some informants feel that the optional presence of a reflex disjunctive pronoun as in the strings (7d-i) lends more force to the topic nominal. And secondly, when such a pronoun occurs to the right of its coreferential NP, as in (7f), (7h), and (7i), it may indicate different presuppositions by placing selective contrast on the topic, i.e. conceding the possibility that others may perform a different action.

(7b-i) reveal that when the subject NP of an unmarked sentence assumes the feature [+T], the basic word order remains unchanged and there is free alternation between replacement rule T.4a and deletion rule T.4b. This is assuming, as I do here, that the topicalisation rules reintroduce the NP in the base and then either pronominalise or erase the now redundant NP before it achieves surface realisation, thus generating such deep structure strings as "as for Halima, Halima has left."

Rule T.4a optionally operates to replace the subject NP with an anaphoric pronoun of the disjunctive set, either in position to the right of the coreferential NP as in (7f), (7h), and (7i), or to the left, appositionally as it were, as in (7d), (7e), and (7g). Both backward and forward pronominalisation are thus possible. Notice that the disjunctive pronoun may occur either immediately before, as in (7g) and (7i), or after (7f) the particle optionally inserted under rule T.2.

Moreover, if (7a) were subjected to a [+F] transform, we might obtain (7j):

(7) j. (ita) Halimà [+F] (cee) ta taashì
 'it's Halima who has left'

Cf. Ngizim and Kanakuru where a subject NP marked [+F] is postposed over V into the predicate. In a parallel topicconstruction, however, Kanakuru uses fronting apparently [Paul Newman, personal communication].

When a subject NP contains the feature [+T], and neither T.4a nor T.2 are applied, but rule T.4b is chosen as in (7b), in which Equi-NP deletion has taken place, then the only indication of [+T], in spoken Hausa at least, is acoustic pause in performance following the topic, indicated throughout with a comma, and perturbation of the normal sentence downdrift.

In the language, the structural division of an utterance into a topic and a comment seems to be a major constituent break in surface structure and results in unusual pitch perturbation.¹⁰ This applies whatever the sentence function of the topic element. The following facts illustrate this distinctive suprasegmental feature of topicalisation. The pitch pattern of the neutral declarative sentence may be roughly represented as follows:

(8) a. 'yaataa zaa tà dafà ruwaa 'my daughter will boil some water'
 [- - - - -]

If, however, we choose to topicalise the subject NP of (8a) ('yaataa) then (8b), with the accompanying pitch contour, is one of the possible outcomes:

(8) b. 'yaataa [+T], zaa tà dafà ruwaa '(and) my daughter, she will boil some water'
 [- - # - -]

¹⁰ A feature already noted by McConvell [1973:24]. For an interesting discussion of the effect that other large surface structure breaks have on downdrift patterns in Hausa, see Meyers [1976:Chapter 3].

As revealed by the rough auditory representation, a pause, indicated by the symbol #, intervenes between the topic and the comment. In (8b) the high tone first syllable of the comment (zaa) is at the same pitch as the utterance initial high tone ('yaa) and serves to initiate a new sentence downdrift pattern. This should be compared with (8a) where the predicate initial high tone syllable (zaa) has a pitch somewhat lower than the sentence initial high tone ('yaa), since it is part of the normal neutral downdrift. In other words, the unusual features of acoustic pause and pitch perturbation may be the only criteria for disambiguating such orthographically identical surface constructions, the one neutral, the other containing a topicalised subject NP.

Furthermore, the same features may also differentiate strings which are the outcomes of different transforms, [+T] and [+F] applied to the base, but which are again identical in surface form. Sentence (8a) for example could itself be the result of a focus transform of the same subject NP, 'yaataa, and with the same tone contour as the neutral sentence.

The suprasegmental properties associated with the topic/comment, old versus new data break, serve in effect as clues to the surface structure of the utterance. Moreover, I believe that these facts lend support to the theory that utterances in which a chosen topic is dislocated leftwards may be analysed as consisting of two full sentences, the second of which (the comment) is characterised by a new and separate sentence downdrift. I shall return to this matter shortly.

If the topic element selected is equated with a constituent NP other than the subject of the unmarked sentence, i.e. to the right of V, it is automatically preposed over V and the subject by movement rule T.1. The result in all cases is deviant surface word order.

3.1.2. Indirect object NP [+T]. Normally an i.o. is marked by the morpheme wà/ma- and appears in immediate postverbal position.

- (9) a. mun dānkàa wà maasinjàa wàsiikàa
'we entrusted a letter to the messenger'
b. maasinjàa [+T], (ai) mun dānkàa masà¹¹ wàsiikàa
'the messenger, (well) we entrusted a letter to him'
- (10) a. an yi wà kujèeraa fentìi
'the chair has been painted'
b. kujèerâr [+T] (kùwa), an yi matà fentìi
'(and) the chair, it's been painted'

¹¹ A low-level morphophonemic readjustment rule provides that the indirect object marker wà, when followed by a personal pronoun, be realised as ma-, which is the actual occurring form in the Kano dialect.

The above facts show that when the topic is under identity with a direct object NP the conditions on deletion or pronominalisation seem to be determined by whether the NP is of the category [+animate] or [-animate]. If the NP contains the feature [+animate] as in (12a) and (13a), then rule T.4a only may apply and fills the original direct object slot with a copy pronoun as in (12b) and (13b). If, however, the NP is [-animate] as in (14a), then either T.4a is again chosen giving (14b), or T.4b applies to zero the NP in the comment, yielding (14c). The majority of my Hausa informants still preferred pronominalisation to full NP reduction though, and some felt that a reflex pronoun added force to the referent NP [-animate].

However, if we apply [+F] to (14a), for example, then deletion rule T.4b is again compulsory, regardless of whether the NP is [+animate] or [-animate], resulting in (14d):

- (14) d. rìgaa [+F] (cèe) ya wankèe
 'it's a gown he washed'

The admittedly limited data so far presented clearly indicate that there are important differences in behaviour between topicalisation and focus. Of particular interest I think is the way in which the operation of NP reduction rules differs in the two processes.

The syntactic pattern which has emerged may be summarised as follows: generally speaking, if a nominal of whatever sentence function is marked [+T] and so dislocated leftwards, it is then preferably and in most cases obligatorily pronominalised in the comment sentence. If, however, the same left-hand element corresponds to the focus of the sentence, then it is deleted in the out-of-focus clause. What then, we must ask, are the conditions which determine these varying rules, and how can these dissimilarities be adequately characterised and accounted for?

The explanation, I suggest, is not to be found in the specific grammatical function of the NP as Schachter [1973:23] proposed for focus constructions at least. Rather it is to be found in the fact that the topic/comment dichotomy constitutes a major break in structure and serves in effect to divide the utterance into two distinct sentences. In other words, the preposed topic is not part of the prime sentence in deep structure but a full sentence in its own right, as illustrated in diagram (6), and so needs pronominal support in the comment sentence. On the other hand, the focus/out-of-focus dichotomy does not constitute such a major break since the focus itself remains part of the underlying sentence and hence does not require to be picked up with a trace. Full Equi-NP deletion thus takes place. These contrasting features may indeed be of a universal nature, and certainly seem to be reflected in English.

Furthermore, I think that additional evidence of a semantic nature may be cited in support of this proposal. In a focus construction the focus element carries a relatively heavy semantic load, and in supplying as it

does new data of greater communicative importance, overshadows the remainder of the sentence.¹³ For this reason, there is no semantic motivation for picking it up with a reflex in the out-of-focus clause. In a topic construction on the other hand, the topic element bears a comparatively light semantic load, constituting old and hence less prominent information, and so needs to be picked up with a pronominal copy in the comment sentence.

It is suggested, therefore, that the choice between copying the topic NP in topic constructions and chopping the NP in focus constructions is governed by a combination of mainly structural but also suprasegmental and semantic factors of the kind outlined above.

3.2. Topicalisation of the verb or verb phrase. Attaching [+T] to V or VP is a legitimate if rather rare feature of the language. It is certainly not as natural or common as selecting V/VP to be the focus of an utterance or as the topicalisation of nominal items and has a very low textual frequency. The following sentences, however, provide pertinent and interesting examples of this feature:

- (15) a. mukàn fìta wani lookàcii
'we go out sometimes'
- b. fìtaa [+T], (ai) mukàn fìta wani lookàcii
'going out, (well) we go out sometimes'
- c. fìtaa [+T], (ai) mukàn yi wani lookàcii
'going out, (well) we do sometimes'
- d. fìtaa [+T], (ai) mukàn yii tà wani lookàcii
'going out, (well) we do it sometimes'
- (16) a. sun sàyi àbinci jiyà
'they bought food yesterday'
- b. sàyen àbinci[+T] (kòo), sun sàyaa jiyà
'(as for) buying food, they bought yesterday'
- c. sàyen àbinci [+T] (kòo), sun sàyee shì jiyà
'(as for) buying food, they bought it yesterday'
- d. sàyen àbinci [+T] (kòo), sun yi jiyà
'(as for) buying food, they did yesterday'
- e. sàyen àbinci [+T] (kòo), sun yii shì jiyà
'(as for) buying food, they did it yesterday'

What we see in (15)-(16) is that when [+T] is attached to V or VP, rule

¹³Schachter [1973:40-45] discusses this point in some detail.

T.1 frontshifts the constituent(s) and then rule T.5 applies to convert V into a verbo-nominal form. V is then either repeated in full in the comment sentence, whether intransitive as in (15b) or transitive as in (16b) and (16c), or it leaves a copy in the form of the finite pro-verb *yì*, and in the original V slot, as in (15c) and (16d). In the latter case, the preposed verbal noun may be repeated with an anaphoric pronoun of the direct object set following the pro-verb as in (15d) and (16e).

A corresponding [+F] transform of (16a) would give (16f):

- (16) f. *sàyen àbinci [+F] (nèe) sukà yì jiyà*
 'it's buying food they did yesterday'

Notice that unlike [+T] transforms, V may not be repeated in full when marked [+F] but simply leaves the substitutive *yì*. Nor is an anaphoric element possible under [+F] as it is with [+T], a feature roughly comparable to the contrastive behaviour of nominals under the two processes.

4. Summary and Conclusions

The main thrust of this paper has been an investigation of the scope and importance of topicalisation in Hausa, contrasting the process at the same time with the salient features of focus. I hope to have shown that the transition from deep to surface structure involves well-established but differing operations in the two constructions types and have suggested that this surface asymmetry, especially with regard to NP reduction, may be accounted for on the basis of syntactic, suprasegmental, and semantic criteria. The study shows that the complex T-rules necessary to generate topic constructions are only partially identical to those needed for focus constructions. Indeed, perhaps the only consistently applied transformational rearrangement common to both processes is that the dislocated item travels leftwards to sentence initial position.

Limited space has meant that the analysis is incomplete. No consideration has been given to topicalisation within complex, equational, or existential constructions, nor to the combination and co-occurrence of these transforms. The complicated behaviour of adverbials under topicalisation has also been ignored. Nonetheless, it is hoped that the data and analysis presented here will prove useful and stimulating to scholars interested in the syntax of other Chadic languages, in particular that of emphatic transforms. Also that this paper will prompt similar research into what is, in Hausa at least, a highly distinctive and most productive process.

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